

1 **Environmental and health effects of the herbicide glyphosate**

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3 Ariena H.C. van Bruggen^{1,2}, Max Teplitski³, Volker Mai¹, Kwang Cheol Jeong¹, Joan D.
4 Flocks⁴, Maria R. Finckh⁵ and J. Glenn Morris, Jr. ^{1,6}

5 ¹ Emerging Pathogens Institute, University of Florida, Gainesville, FL 32611, USA

6 ² Department of Plant Pathology, IFAS, University of Florida, Gainesville, FL 32610, USA

7 ³ Department of Soil and Water Science, IFAS, University of Florida, Gainesville, FL 32611,
8 USA

9 ⁴ Center for Governmental Responsibility, Levin College of Law, University of Florida,
10 Gainesville, FL 32610, USA.

11 ⁵ Faculty of Organic Agricultural Sciences, Ecological Plant Protection, University of Kassel,
12 37213 Witzenhausen, Germany

13 ⁶ Department of Medicine, College of Medicine, University of Florida, Gainesville, FL 32610,
14 USA

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17 BACKGROUND: The WHO reclassified the herbicide glyphosate as probably carcinogenic to
18 humans, and concerns about potential side effects of the large-scale use of glyphosate have
19 increased. We are interested in potential indirect effects of glyphosate on animal, human and
20 plant health due to shifts in microbial community composition and antibiotic resistance in soil,
21 plant surfaces and intestinal tracts.

22 OBJECTIVES: We review the scientific literature on glyphosate use, its toxicity to macro- and
23 microorganisms, effects on microbial compositions, and potential indirect effects on plant,
24 animal and human health. We hypothesize that glyphosate use has increased antibiotic resistance
25 and propose study designs for testing this hypothesis.

26 DISCUSSION: Although the acute toxic effects of glyphosate on mammals are low, the chronic
27 effects on human and animal health could be considerable due to accumulation in the
28 environment. Intensive glyphosate use has led to the selection of glyphosate-resistant weeds and
29 microorganisms. Shifts in microbial compositions due to selective pressure by glyphosate may
30 have contributed to the proliferation of pathogens. Research on a link between glyphosate and
31 antibiotic resistance is scarce. We hypothesize that the selection pressure for glyphosate
32 resistance in bacteria could lead to shifts in microbiome composition and increases in antibiotic
33 resistance.

34 CONCLUSION: We recommend interdisciplinary research on the associations between
35 glyphosate use, distortions in microbial communities, expansion of antibiotic resistance and the
36 emergence of animal, human and plant diseases. Independent research is needed to revisit the
37 tolerance thresholds for glyphosate residues in food and animal feed taking all possible health
38 risks into account.

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42 **Glyphosate use and residues**

43 The herbicide glyphosate, N-(phosphonomethyl) glycine (Fig. 1), has brought several benefits to
44 farmers since its introduction in 1973. First, large scale no-till farming, which is enabled by
45 killing the previous crop and weeds by herbicide, often glyphosate, and then seeding the next
46 crop in cultivated strips of land, has benefitted soil and environmental quality (Campbell et al.
47 1998; Locke et al. 2015). No-till crop production resulted in a reduction in soil erosion,
48 conservation of soil moisture, an increase in soil organic matter and a reduction in fuel use
49 (Robertson et al. 2014). Second, the development and use of glyphosate resistant crops has
50 improved large-scale farming operations due to effective weed control (Duke, 2015). Third,
51 glyphosate is also used to facilitate harvesting by terminating mature crops like potatoes and
52 sunflowers. Glyphosate is also widely used in urban areas for weed control.

53 The total acreage treated with glyphosate has steadily increased, especially since the
54 introduction of glyphosate-resistant soybean and canola in 1996 (Fig.2), cotton in 1997, and corn
55 in 1998. The annual glyphosate application rates per acre of soybeans have also increased, partly
56 due to the development of glyphosate resistant weeds (Fig. 3). In 2012, about 127,000 tons of
57 glyphosate were used in the USA (Fig. 4) and 700,000 tons worldwide (Swanson et al. 2014; US
58 Geological Survey 2012). Glyphosate use is widespread, both in industrialized and developing
59 countries, especially on glyphosate resistant crops, which constitute 60-80% of all genetically-
60 modified crops (Fig. 5). In the USA, glyphosate and AMPA are now pervasive in soils, surface
61 water and groundwater (Battaglin et al. 2014).

62 Farm products contain widely varying amounts of glyphosate and its degradation product
63 aminomethyl phosphonic acid, AMPA (Arregui et al. 2004; Bøhn et al. 2014; Cuhra 2015). The
64 maximum residue limits also vary widely, ranging from 0.05 mg/kg in milk up to 500 mg/kg in
65 various types of fodder (Codex Alimentarius 2013; Cuhra 2015; EPA 2013). To reduce
66 glyphosate residues in plant products, a gene from *Ochrobactrum anthropi*, which encodes for
67 the enzyme glyphosate oxidase (*GOX*), has been inserted into some glyphosate resistant crop
68 cultivars, so that glyphosate is broken into AMPA and glyoxylate within the plants (Monsanto
69 2013). The residues of glyphosate and AMPA are taken up by animals and humans together with
70 their food, and accumulate in various organs besides being excreted in urine. Glyphosate was
71 detected in the urine of a high proportion of farm animals and humans (Krüger et al. 2013 and
72 2014a,b; Schrödl et al. 2014; Shehata et al. 2014).

73

74 Mode of action of glyphosate

75 Glyphosate is toxic to both monocotyledonous plants (like grasses) and dicotyledonous plants
76 (most broad-leaf plants). Uptake and translocation of glyphosate in plants is enhanced by
77 surfactants in the formulated product, such as polyoxyethylene amine (POEA) in Roundup®.
78 Its herbicidal activity is associated with the inhibition of 5-enolpyruvylshikimate-3-phosphate
79 synthase (EPSPS), stopping the sixth step in the shikimate pathway that is required for the
80 production of aromatic amino-acids and secondary compounds with defense functions in plants
81 (Krüger et al. 2013; Schrödl et al. 2014). In addition, it chelates several micro-elements, in
82 particular Mn, which is needed for the reduction of the flavin mononucleotide co-factor for
83 EPSPS.

84 The shikimate pathway is not only present in plants but also in fungi and bacteria,
85 rendering many taxa of microorganisms sensitive to glyphosate. This pathway is absent from
86 animals, which is the basis for the lack of acute toxicity of glyphosate in animals. However, not
87 all organisms with the shikimate pathway are equally sensitive to glyphosate. For example,
88 *Agrobacterium tumefaciens* strain CP4 has a gene coding for a different version of EPSPS that is
89 resistant to glyphosate inhibition. This gene was inserted initially in soybeans and later in other
90 crops to provide glyphosate resistance (Padgett et al. 1995). Over time, naturally resistant plants
91 have appeared in many fields that were treated repeatedly with glyphosate, with resistance
92 mediated through a variety of mechanisms (Pollegioni et al. 2011; Schafer et al. 2014; Shaner et
93 al. 2012). Similarly, bacterial and fungal strains with low sensitivity to glyphosate have been
94 selected (Li et al. 2015; Liu et al. 2013; Priestman et al. 2005). These differences in sensitivity
95 could affect the microbial composition of various habitats with glyphosate, including soil, plant
96 surfaces and animal intestinal tracts.

97

98 Glyphosate effects on animals and humans

99 Although the acute toxicity to mammals is considered to be low (McComb et al. 2008), there is
100 increasing interest in potential chronic effects of glyphosate and its degradation products as they
101 accumulate in the environment (Battaglin et al. 2014; Mesnage et al. 2015; Séralini et al. 2014).
102 For example, glyphosate and its break-down product AMPA increased the reactive oxygen
103 species in human erythrocyte cultures, albeit at relatively high concentrations > 0.25 mM for 24

104 h (Kwiatkowska et al. 2014). However, chronic exposure to glyphosate (2 yr) at low doses (50
105 ng/L) in drinking water caused liver and kidney damage as well as various tumors in laboratory
106 rats (Mesnage et al. 2015; Séralini et al. 2014). It has been hypothesized that the combination of
107 glyphosate and “hard” water could have led to chronic kidney disease among rice farmers in Sri
108 Lanka (Jayasumana et al. 2014). Moreover, increases in infertility and malformation among pigs
109 that were correlated with glyphosate concentrations in various organs have raised concerns about
110 glyphosate in the feed (Krüger et al. 2014a,b).

111 The World Health Organization recently warned about the potential negative health
112 effects of glyphosate, classifying glyphosate as probably carcinogenic to humans (Guyton et al.
113 2015; IARC 2015). Subsequently, the Colombian Government decided to stop the large-scale
114 aerial application of this herbicide to eliminate coca plants
115 ([http://www.nytimes.com/2015/05/15/world/americas/colombia-halts-us-backed-spraying-of-](http://www.nytimes.com/2015/05/15/world/americas/colombia-halts-us-backed-spraying-of-illegal-coca-crops.html?_r=0)
116 [illegal-coca-crops.html?_r=0](http://www.nytimes.com/2015/05/15/world/americas/colombia-halts-us-backed-spraying-of-illegal-coca-crops.html?_r=0)). Recently, medical scientists urged the US National Toxicology
117 Program to reassess the toxicology of glyphosate and its formulations as well as interactions with
118 other herbicides (Landrigan and Benbrook 2015). In the medical literature, AMPA is known as a
119 glutamic acid receptor in the central nervous system (Catarzi et al. 2006), but little is known
120 about the effects of excess AMPA on the functioning of the nervous system.

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122 **Glyphosate effects on microorganisms**

123 *Soil, rhizosphere and plant microbiomes.*

124 Formulated glyphosate products are taken up by the foliage of plants and transported to the roots,
125 from which they can exude into the rhizosphere (Yamada et al. 2009; Zobiolo et al. 2010).

126 Glyphosate is absorbed to clay and organic matter particles, slowing degradation by
127 microorganisms (Banks et al. 2014). Surfactants, added in glyphosate formulations to enhance
128 plant penetration, may slow down degradation even further. Because many microorganisms are
129 sensitive to glyphosate, its application can affect the microbial composition and enzymatic
130 activity in the rhizosphere and surrounding soil (Arango et al. 2014; Banks et al. 2014; Cherni et
131 al. 2015; Druille et al. 2015; Schafer et al. 2014). For example, the plant growth promoting
132 rhizobacteria *Burkholderia* and *Pseudomonas* spp., as well as arbuscular mycorrhizal fungi and
133 nitrogen fixing *Rhizobium* spp., were negatively affected by glyphosate treatments (Druille et al.
134 2015; Schafer et al. 2014; Zobiolo et al. 2010). However, the impact of glyphosate on soil

135 microbial communities remains controversial (Wolmarans and Swart 2014). In studies
136 comparing application of glyphosate with an untreated soil control, microbial communities
137 seemed to “bounce back” from short-term treatment (Arango et al. 2014), with only minor or no
138 effect on overall microbial structure, biomass, or activity (Shigueyoshi Nakatani et al. 2014),
139 probably reflecting the great diversity and compensatory ability of microorganisms in soil.
140 However, these studies have generally not employed DNA sequencing methods which would
141 target subtle shifts in microbial composition or specific genera (Schafer et al. 2014).

142 Long-term negative effects of intensive farming practices, including herbicide use, on
143 soil quality and functioning have been shown to be considerable (Squire et al. 2015). In addition,
144 negative effects of glyphosate on the uptake of certain micronutrients like Mn, Zn and Fe and
145 reduced resistance of plants to several root pathogens including *Fusarium* spp. have been shown
146 convincingly (Fernandez et al. 2009; Johal and Huber 2009; Rosenbaum et al. 2014; Yamada et
147 al. 2009). Moreover, *Fusarium* sp. appears relatively insensitive to glyphosate (Zobiolo et al.
148 2010), potentially shifting the balance of *Fusarium* and antagonistic microorganisms such as
149 *Pseudomonas fluorescens* in favor of the root pathogen (Kremer and Means 2009; Yamada et al.
150 2009; Zobiolo et al. 2010). Many *Fusarium* species produce mycotoxins, heightening the
151 concern about the general increase in *Fusarium* diseases.

152
153 *Effects on microorganisms in animals.*

154 Glyphosate-contaminated animal feed and water can affect intestinal microbial communities. For
155 example, lactic acid producing bacteria generally are negatively affected by glyphosate (Clair et
156 al. 2012; Krüger et al. 2013). These normally produce bacteriocins and suppress pathogenic
157 bacteria like *Clostridium botulinum*, (Krüger et al. 2013; Rodloff and Krüger 2012), and
158 botulism has increasingly been found in cows that had high concentrations of glyphosate in their
159 feed and urine (Gerlach et al. 2014; Krüger et al. 2013 and 2014a). Similarly, *Bifidobacterium*
160 and *Enterococcus* spp. in poultry were negatively affected by glyphosate at 0.08-0.15 mg/g,
161 while pathogenic bacteria like *Salmonella* and *Clostridium* spp. were less sensitive (MIC=1.2-5
162 mg/g) to this herbicide (Shehata et al. 2013). The tested concentrations were high, but the
163 glyphosate concentration in the poultry feed was also high: 0.19-0.4 mg/g (Shehata et al. 2014).
164 Glyphosate in animal feed affects not only intestinal bacteria but also fungi. A negative
165 correlation was found between glyphosate concentrations in urine and the density of Mucorales

166 in the rumen of dairy cows in Germany (Schrödl et al. 2014). Although Mucorales were resistant
167 to glyphosate *in vitro*, it is possible that this change in prevalence was due to a disturbance of the
168 intestinal microbiota. Fortunately, addition of humic acids and charcoal to animal feed can bind
169 some of the glyphosate and alleviate its negative effects on animal health (Gerlach et al. 2014;
170 Shehata et al. 2014).

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172 *Resistance to glyphosate and antibiotics.*

173 Some bacteria and fungi are highly resistant to glyphosate (Fei et al. 2013; Wolmarans and Swart
174 2014). For example, *Agrobacterium tumefaciens* strain CP4 was resistant to glyphosate before its
175 widespread use. Since the intensive use of glyphosate, however, resistance has been found
176 increasingly in many other bacteria, with resistance mediated through a variety of mechanisms
177 (Fei et al 2013): (i) target site mutation like an amino acid substitution in the EPSP synthase in
178 *Staphylococcus aureus* (Priestman et al. 2005), (ii) overexpression of a membrane efflux
179 transporter, for example in *E. coli* and *Pseudomonas* (Staub et al. 2012), (iii) degradation of
180 glyphosate, for example by cyanobacteria (Arunakumara et al. 2013), and (iv) scavenging of free
181 radicals by mycothiol in Actinobacteria providing general stress resistance (Liu et al. 2013). It
182 has been proposed that mechanisms conferring resistance to glyphosate in bacteria also mediate
183 resistance to clinically-important antimicrobial agents (Kurenbach et al. 2015; Liu et al. 2013).
184 For example, mycothiol provides resistance not only to glyphosate but also to a wide range of
185 antibiotics, including penicillin G (Liu et al. 2013). Regulation of the AcrAB efflux pump was
186 modified in *E. coli* exposed to a commercial formulation of glyphosate (1,240 µg/ml), and this
187 was associated with resistance to 0.03-0.09 µg/ml Ciprofloxacin (Cip) and 5-10 µg/ml
188 Kanamycin (Kan) (Kurenbach et al. 2015). Similarly, *Salmonella enterica* serovar Typhimurium
189 was more resistant to Cip at 0.08 µg/ml and Kan at 8-40 µg/ml when exposed to glyphosate, but
190 potential changes in efflux pump were not investigated (Kurenbach et al. 2015). Glyphosate-
191 resistant Enterobacteriaceae isolates (n=75) selected on Roundup-amended plates (7,500 ppm
192 glyphosate) from the gastrointestinal tract of cattle and pasture soil showed >80% cross-
193 resistance against Tetracycline, Erythromycin, Polymixin B, Rifampicin, and Ampicillin, but not
194 against the extended spectrum β-lactamase (ESBL) antibiotic cefotaxime (Jeong et al.
195 unpublished data). However, positive relationships were found between field-induced glyphosate
196 resistance and ESBL production in various other Enterobacteriaceae

197 ([http://www.ensser.org/fileadmin/files/Science in the Eye of the Storm/II Monika Kr%C3%
198 BCger - Collateral damages of the herbicide glyphosate in dairy.pdf](http://www.ensser.org/fileadmin/files/Science_in_the_Eye_of_the_Storm/II_Monika_Kr%C3%BCger_-_Collateral_damages_of_the_herbicide_glyphosate_in_dairy.pdf)). In agreement with
199 this finding, unidentified bacteria (n=102) that were isolated from citrus roots on penicillin (20
200 ppm) amended agar had 62% cross-resistance to Roundup (7,500 ppm glyphosate), which is
201 applied frequently in citrus groves, while penicillin is never used (van Bruggen et al.,
202 unpublished data). It remains to be seen if the overall architectures of ESBL antibiotics and
203 AMPA are similar enough for specific cross-resistance to develop (Fig. 1).

204

205 **Discussion and conclusion**

206 Scientific literature about possible environmental and organismal side effects of glyphosate is
207 increasing rapidly. Although the acute toxic effects of glyphosate on mammals are low, concerns
208 have emerged about chronic effects on the health of humans and animals, with literature
209 suggesting a linkage between increased glyphosate use and a wide variety of human diseases,
210 including various forms of cancer (Swanson et al. 2014). Data on causality are, unquestionably,
211 sparse. However, sufficient data are accumulating (Landrigan & Benbrook, 2015) that additional
212 controlled experiments in animal models to assess possible chronic toxic effects would appear to
213 be indicated.

214 Intensive glyphosate use has led to the selection of glyphosate resistant weeds and
215 increased application rates. In response to glyphosate selection pressure, glyphosate resistance in
216 microorganisms may rise, and shifts in microbial communities will take place in soil, plants,
217 animal feeds and intestinal tracts, with proliferation of specific plant and animal pathogens and
218 potential impacts on plant, animal, and human health (Berg et al. 2014; Hoffman et al. 2015;
219 O'Doherty et al. 2014). Antibiotic resistance is widespread in soils and the intestinal tract of
220 farm animals (CDC 2013; Marti et al. 2013; Udikovic-Kolic et al. 2014; Demanèche et al. 2008;
221 Stine et al. 2007), and may well increase the risk of clinically-important antimicrobial resistance
222 (Brolund et al, 2014; CDC 2013; Smith et al, 2005). In not all instances is it possible to directly
223 relate rates of antimicrobial resistance to antimicrobial use (Marti et al. 2013; Udikovic-Kolic et
224 al. 2014); in such settings, glyphosate may serve as a selective driver for the emergence of
225 antimicrobial resistance, acting through common resistance mechanisms.

226 Considering that the microbiomes associated with soil and fresh plant products transfer to
227 animal and human intestinal tracts (Berg et al. 2014), and the microbiomes of excrements return

228 to soil and water, it is likely that there are microbial cycles that are characteristic for particular
229 management systems (Semenov et al. 2010; van Bruggen et al. 2015). Management of weeds
230 with multiple glyphosate applications could result in microbiomes that are relatively glyphosate-
231 and antibiotic resistant. We hypothesize that the selection pressure for glyphosate resistance and
232 the associated resistance to antibiotics in the soil microbiome results in transfer of antibiotic
233 resistant bacteria from soil to plants, animals and humans through the food web. We suggest
234 investigating this question by comparing the microbiomes and antibiotic resistance in food
235 production and consumption systems where glyphosate is used or not and where manure with or
236 without antibiotic resistance is used or not.

237 We expect that the problems associated with the large-scale and intensive use of
238 glyphosate are much more encompassing than originally anticipated by the regulatory agencies.
239 Glyphosate use may need to be restricted to prevent accumulation of glyphosate and AMPA in
240 soil, water, feed and food. Residual concentrations need to be monitored more extensively, and
241 results need to be published. Pending results from additional independent research, the tolerance
242 levels may need to be lowered. Finally, stricter enforcement of tolerance levels and rejections of
243 loads shipped internationally may be required. A global effort will be needed to collect these
244 types of high-quality data across the range of settings in which glyphosate is currently used; only
245 as such data become available will we be able to design and implement strategies to counter
246 further escalation of the problems associated with glyphosate use.

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248

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250

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529 **Figure legends**

530

531 Fig. 1. Chemical structures of Glyphosate, its degradation product AMPA, and the antibiotics
532 ampicillin and penicillin (<http://www.chemspider.com/Chemical-Structure.6013.html>).

533

534 Fig. 2. Percentages of agricultural areas under corn, cotton and soybean treated with total
535 herbicides, total insecticides and glyphosate from 1990 to 2014.

536 Adapted from: <http://sustainablepulse.com/wp-content/uploads/GMO-health.pdf> and USDA
537 NASS (2014).

538

539 Fig. 3. Glyphosate application rate (kg/ha/yr) on soybeans; standard error 0.078 kg/ha/yr.

540 Adapted from: Swanson et al. (2014) and USDA NASS (2014).

541

542 Fig. 4. Distribution of glyphosate use (0-160 kg/ha) in the USA (US Geological Survey 2012).

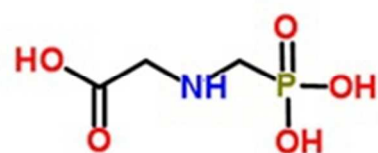
543 https://water.usgs.gov/nawqa/pnsp/usage/maps/show_map.php?year=2012&map=GLYPHOSA
544 [TE&hilo=L&disp=Glyphosate](https://water.usgs.gov/nawqa/pnsp/usage/maps/show_map.php?year=2012&map=GLYPHOSA) [accessed 22 July 2015].

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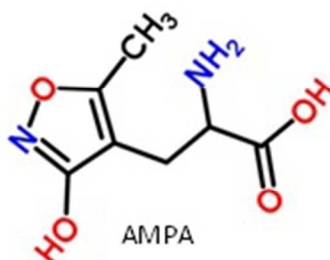
546 Fig. 5. World-wide production of genetically modified (GM) crops in 2010.

547 http://www.economist.com/blogs/dailychart/2011/02/adoption_genetically_modified_crops

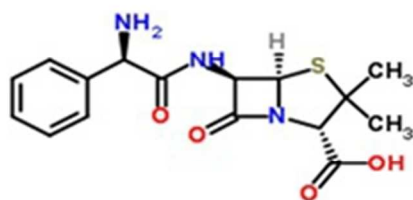
548 The most commonly grown GM crops are Roundup®-ready soybeans, corn, and canola, >80% in
549 2009 down to 60% in 2013 (Duke and Powles 2009; James 2014).



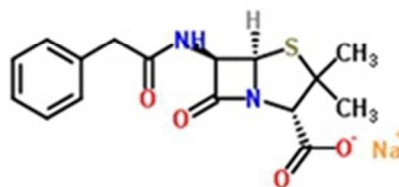
Glyphosate



AMPA



Ampicillin



Penicillin G

Fig. 1. Chemical structures of Glyphosate, its degradation product AMPA, and the antibiotics ampicillin and penicillin (<http://www.chemspider.com/Chemical-Structure.6013.html>).
131x123mm (96 x 96 DPI)

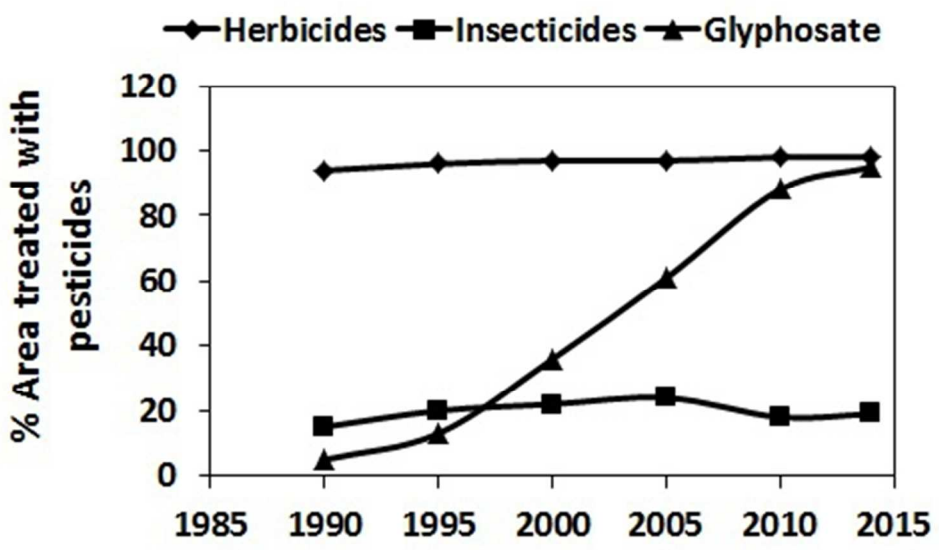


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128x80mm (96 x 96 DPI)

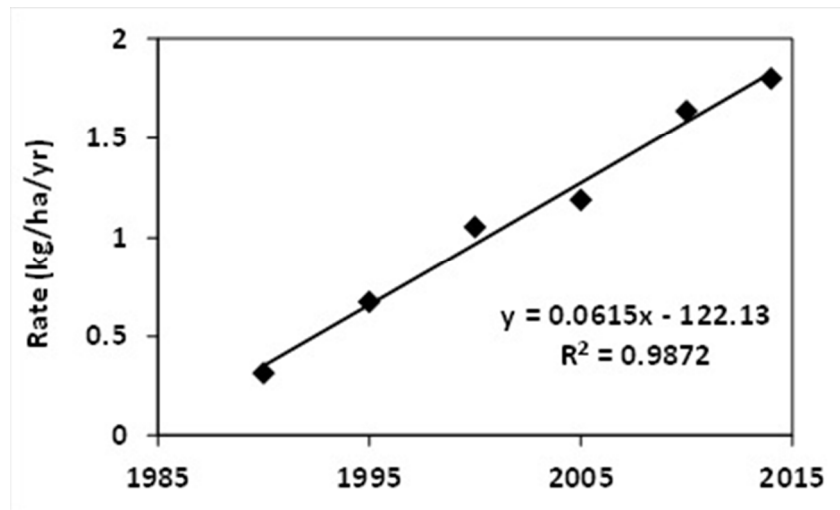


Fig. 3. Glyphosate application rate (kg/ha/yr) on soybeans; standard error 0.078 kg/ha/yr. Adapted from: Swanson et al. (2014) and USDA NASS (2014).

110x66mm (96 x 96 DPI)

Estimated Agricultural Use for Glyphosate, 2012 EPest-Low

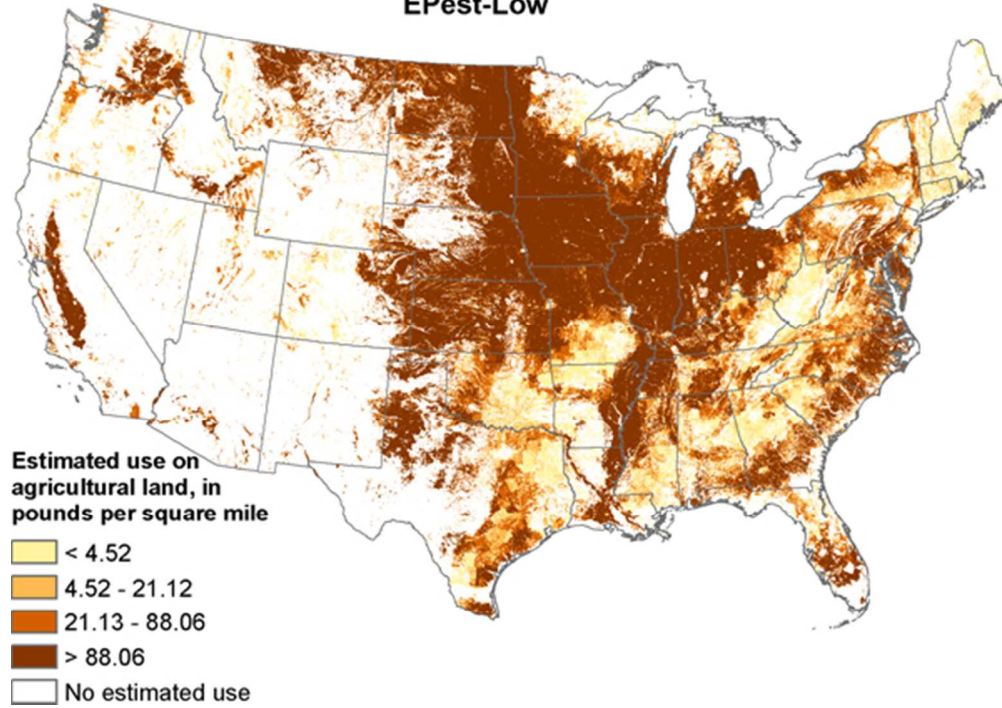


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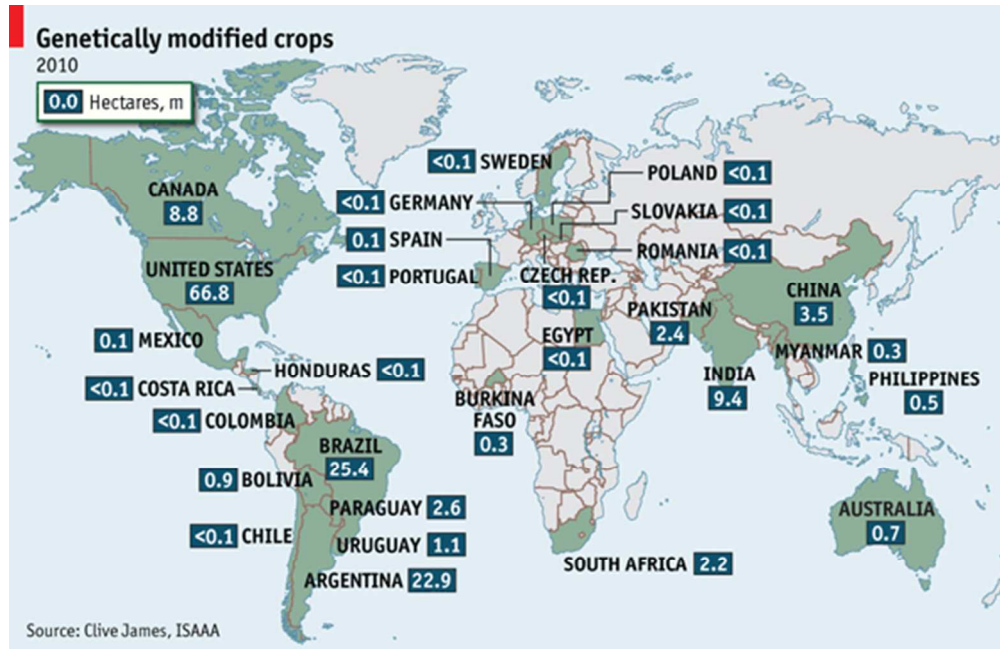


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209x135mm (72 x 72 DPI)